The Zeigler Group

UBS Financial Services Inc.

We offer the confidence and clarity you deserve, along with the care and commitment you expect, as we help you and your family navigate a successful financial future.

UBS

About Us

At The Zeigler Group, we specialize in providing ultra-focused wealth management strategies tailored to the unique objectives of ultra-high-net-worth families.

With a commitment to tax sensitivity and multigenerational estate planning strategies, we approach every client relationship with a long-term perspective, guided by unwavering moral character and an ambition to exceed expectations.

A Team Approach to Your Family

Our team operates like a family office, delivering a full-service experience while seamlessly collaborating with your existing CPA and attorneys. Through the global reach of UBS, we provide access to resources, backed by our collective expertise and meticulous attention to detail.

A Clear Roadmap

Our discerning clients benefit from a clear roadmap to achieving their objectives, supported by a team that prioritizes knowledge, diligence, execution, and follow-up at every step. Through a detailed financial goals analysis, we strive to align solutions with their short-term needs and long-term aspirations. Beyond managing wealth, we focus on helping our clients design a legacy and leave a lasting impact.

Who We Are

Drawing on the insight of UBS, as well as professional qualifications, you'll receive Advice Beyond Investing. Your goals are our focus and achieving them is a commitment we share.

Perry Zeigler, AWMA™, CFP®, CIMA®, CPWA®, CPM®
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Authorized Officer
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Madeleine Jackson
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Team Spotlight

Perry has provided customized professional guidance, investment management and financial services to individuals, families, endowments and foundations for over 30 years. Perry's disciplined investment philosophy and custom investment models are grounded in risk management. He serves as Wealth Management Advisor on the team and facilitates economic and market updates.

Perry will be the first to tell you: "Investments are an important part of one's overall financial plan", they are just a part. He believes sound comprehensive financial planning is key to achieving your financial goals. Through bull and bear markets, Perry remains committed to providing consistent and reliable service to our clients.

Perry maintains the Certified Financial Planner (CFP®), Certified Investment Manager Analyst (CIMA®), Certified Private Wealth Advisor (CPWA®) and Certified Portfolio Manager (CPM®) designations, in addition to the Series 7 & 66 FINRA securities registrations and State of California Insurance license (#0B37538). Perry graduated with a B.S. in Marketing and Logistics from the University of Wisconsin-Madison.

Karen Dhuyvetter,
CPWA®, CFP®, CRPC®
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Team Spotlight

Drawing on her passion for personal finance, Karen serves clients with her innate care for their overall well-being. In her role as a Sr. Wealth Strategy Associate and with 20+ years of industry experience, she works closely with the Financial Advisor to provide goals-based financial planning and to develop tailored wealth management solutions to multi-generational families, corporate executives and entrepreneurs.

With focus on helping clients attain life goals, Karen assists in in assessing the unique characteristics and needs of client families and then harnessing suitable capabilities in the areas of investment advisory, lending, legacy advisory and philanthropy.

Karen holds the Certified Private Wealth Advisor® (CPWA®), Certified Financial Planner™ (CFP®) and Chartered Retirement Planning Counselor® (CRPC®) designations. She also maintains the Series 7 & 66 FINRA Securities registrations and State of California Insurance license (#0D90393). Karen graduated with a Master's Degree in Civil Engineering from Ghent University in Belgium and is multi-lingual (English, Flemish, French and Italian).

Pamela Taylor
Team Administrator
Authorized Officer
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Team Spotlight

As our Team Administrator, Pamela, is responsible for ensuring the efficiency of our team operations and streamlining the processes for our clients. She oversees the intricacies of client service onboarding, lending, account maintenance, and responds directly to a full range of operational inquiries.

Beginning her career in the financial services industry 30 years ago, her expertise includes the areas of compliance, management and administration. Pamela's passion for creating a premium experience for client relationships sets our team apart.

Pamela holds the following Series 7, 63, 66, 9, and 10 FINRA securities licenses. She earned her B.S. in Business Administration from California State University San Marcos.

Madeleine Jackson
Registered Client Service Associate
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Team Spotlight

Madeleine manages client relationships and coordinates team initiatives. As the Registered Client Service Associate for the team, working for over seven years in the financial services industry, she handles a variety of client inquiries, scheduling, reviews, operational tasks, and digital engagement.

She has a passion for working with families, delivering exceptional client service and understanding financial planning to ensure all expectations are exceeded on a daily basis.

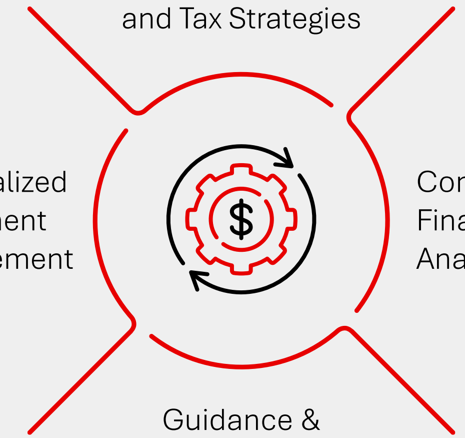
Madeleine holds the Series 7 & 66 FINRA securities registrations and State of California Insurance License (#4374962). Madeleine graduated from the University of San Diego, earning a B.A. in Communication Studies with an emphasis in Business Administration.

What We Do

Advice Beyond Investments

We deliver comprehensive goal assessment, combining tailored wealth planning, investment, tax and estate planning strategies with the global resources of UBS. Our team of experienced and highly credentialed professionals is dedicated to keeping the focus on you and your unique objectives.

Your Family's Private Wealth Management



Backed by the Global Resources of UBS

160
years experience

52
countries worldwide

$5.9+
trillion invested assets

Source: UBS, June 2024

Why Us

Comprehensive Wealth Strategies

A family office-style approach helps to address various aspects of your financial life, including investment management, tax-and estate planning strategies, and more.

Seamless Collaboration

By working closely with your existing CPA and attorneys, the team provides a unified strategy, helping to eliminate gaps or overlaps in your wealth plan and simplifying your decision-making process.

Full-Service Experience

We provide comprehensive, integrated guidance and oversight through planning and investment management services along with the extensive range of banking services at UBS*. The team also collaborates with professionals across UBS and the broader industry to provide tailored strategies.

Access to Global Resources

Leveraging UBS's expansive network, you gain access to cutting-edge tools, research, and global opportunities tailored to your goals, offering you an edge in comprehensive wealth management.

Collective Experience

A team approach combines diverse specialties and perspectives, ensuring your strategy benefits from comprehensive knowledge and innovative thinking.

Meticulous Attention to Detail

Our approach emphasizes precision, striving to address every aspect of managing and supporting your family's financial goals.

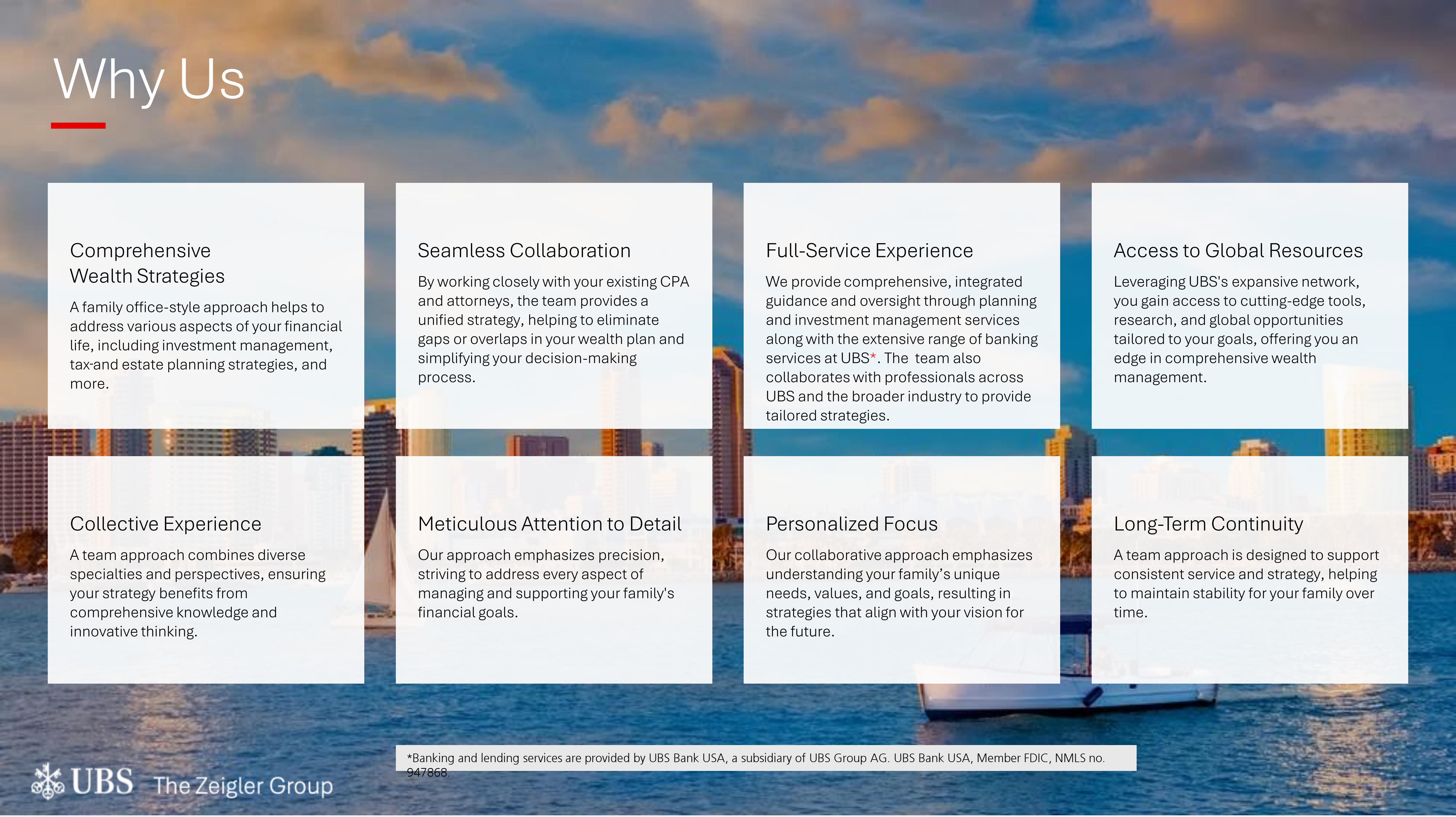
Personalized Focus

Our collaborative approach emphasizes understanding your family's unique needs, values, and goals, resulting in strategies that align with your vision for the future.

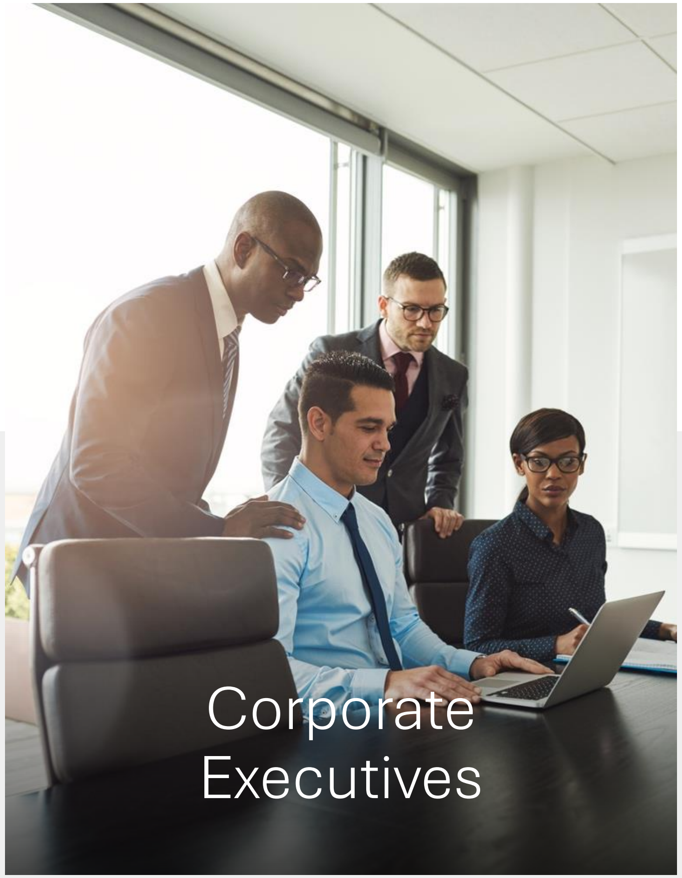
Long-Term Continuity

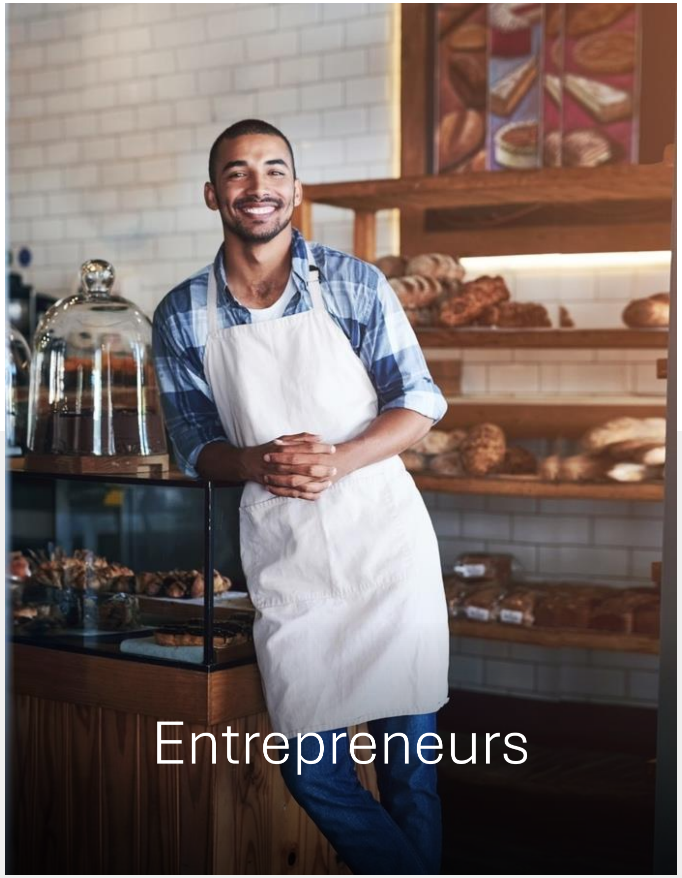
A team approach is designed to support consistent service and strategy, helping to maintain stability for your family over time.

*Banking and lending services are provided by UBS Bank USA, a subsidiary of UBS Group AG. UBS Bank USA, Member FDIC, NMLS no. 947868.



Who We Work With





Our Process

1 → 2 → 3

1. Identifying Your Values and Objectives

Our Financial Goals Analysis involves understanding a client's financial situation, goals, personality, values, and interests. We begin by asking five questions to help determine the path forward.

- What do you want to accomplish in your life?
- Who are the people that matter most to you?
- What do you want your legacy to be?
- What are your main concerns?
- How do you plan to achieve your life's vision?

2. Building Your Wealth Plan

Our planning approach is a systematic way of making your goals more tangible and identifies three strategies to incorporate.

- Liquidity
- Longevity
- Legacy

3. Implementation of Wealth Strategies

We focus on translating your strategies into actionable steps.

- Investment Management
- Estate & Legacy Strategies
- Tax Planning Strategies

Investment Philosophy

Our approach leverages an algorithm-based model that has been in practice for more than 25 years, providing a structured framework utilized by ultra-high-net-worth families.

Investment Analysis

We consider a broad range of investment vehicles—including stocks, bonds, mutual funds, private equity, and alternative investments. Guided by a rigorous process of ongoing research, evaluation and opportunity outlook, portfolios are analyzed with careful attention.

Tailored Portfolio Design

Your portfolio is designed to reflect the unique needs and goals of you or your family, resulting in personalized and carefully managed solutions that balance complexity with precision.

Service Model

We provide a structured approach to your wealth management through quarterly formal meetings.

These sessions include:

Updates to Financial Planning Analysis

Ensuring your plan reflects current circumstances and priorities.

Investment Review

Assessing portfolio performance and alignment with your objectives.*

Outcome Tracking

Monitoring progress toward your financial goals.*

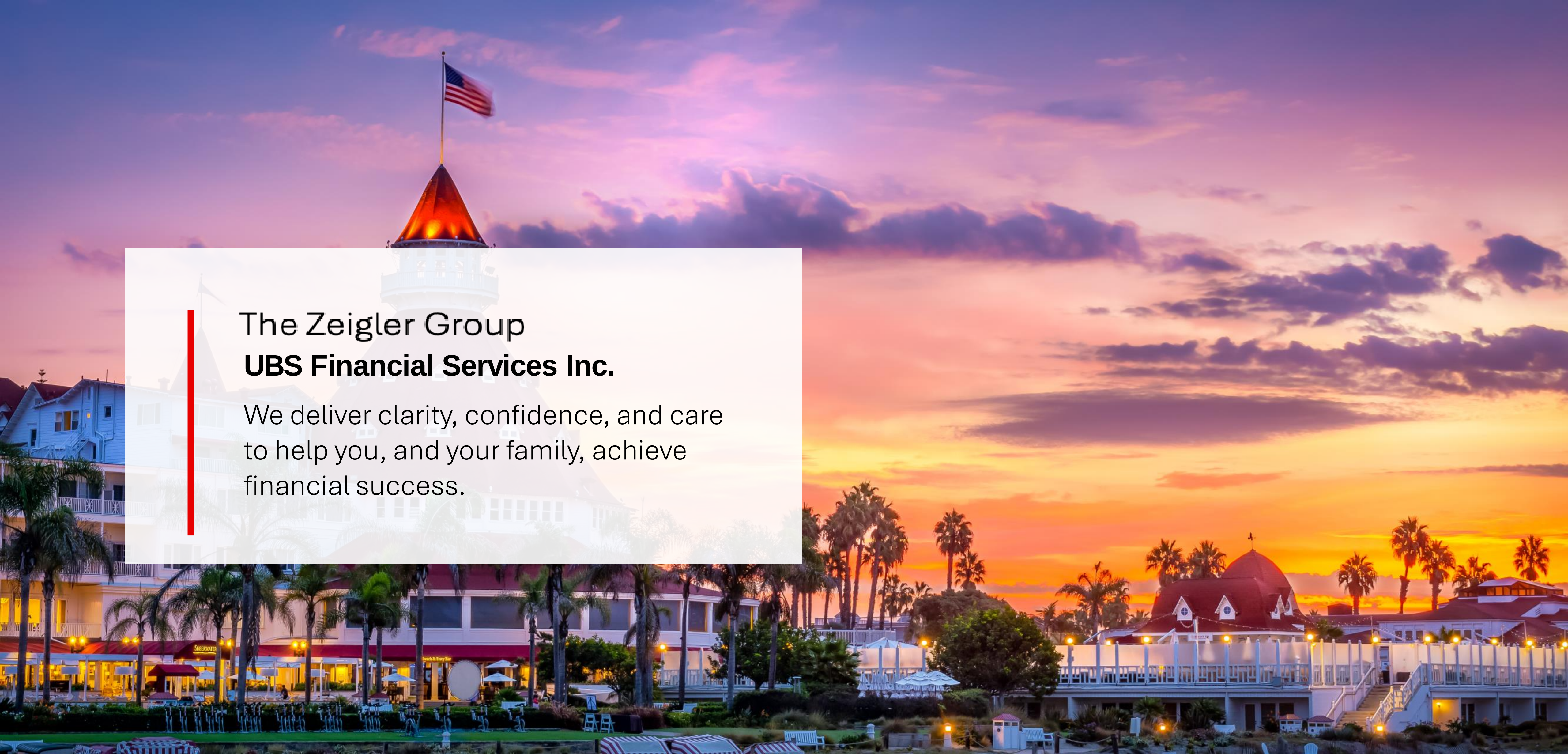
Progress Updates

Keeping you informed of key developments and changes.

Exploration of New Opportunities

Identifying strategies and investments to further enhance your wealth plan.

*We provide periodic monitoring of advisory accounts in accordance with the client's agreements with UBS. Unless separately agreed in writing, UBS does not manage client's brokerage account, and clients make the ultimate decision regarding the purchase or sale of investments.



The Zeigler Group

UBS Financial Services Inc.

We deliver clarity, confidence, and care to help you, and your family, achieve financial success.

UBS

Important Information

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The information contained in this presentation is not a solicitation to purchase or sell investments. Any information presented is general in nature and not intended to provide individually tailored investment advice. The strategies and/or investments referenced may not be suitable for all investors as the appropriateness of a particular investment or strategy will depend on an investor's individual circumstances and objectives. Investing involves risks and there is always the potential of losing money when you invest. The views expressed herein are those of the author and may not necessarily reflect the views of UBS Financial Services Inc.

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The Financial Goal Analysis (FGA) report is based on various assumptions including financial information, personal preferences and other information provided by the client. The report details the assumptions upon which the plan is based. **Important**: The projections and other information generated by FGA regarding the likelihood of various investment outcomes are hypothetical in nature, do not reflect actual investment results, and are not guarantees of future results.

There are two sources of UBS research. One source is written by UBS Wealth Management Research ("WMR"). WMR is part of UBS Global Wealth Management & Business Banking (the UBS business group that includes, among others, UBS Financial Services Inc. and UBS International Inc.), whose primary business focus is individual investors. The second source is written by UBS Investment Research. UBS Investment Research is part of UBS Securities LLC, whose primary business focus is institutional investors. The Individual report style, length and content are designed to be more easily used by individual investors.

The research reports may include estimates and forecasts. A forecast is just one element of an overall report. Differences may sometimes occur between the individual and institutional reports with respect to interest rate or exchange rate forecasts due to differences of opinions. The analysts preparing individual and institutional research use their own methodologies and assumptions to make their own independent forecasts. Neither the institutional forecast nor the individual forecast is necessarily more reliable than the other. The various research content provided does not take into account the unique investment objectives, financial situation or particular needs of any specific individual investor. **If you have any questions, please consult your Financial Advisor.**

As a firm providing wealth management services to clients, UBS Financial Services Inc. offers investment advisory services in its capacity as an SEC-registered investment adviser and brokerage services in its capacity as an SEC-registered broker-dealer. Investment advisory services and brokerage services are separate and distinct, differ in material ways and are governed by different laws and separate arrangements. It is important that you understand the ways in which we conduct business, and that you carefully read the agreements and disclosures that we provide to you about the products or services we offer. For more information, please review client relationship summary provided at **ubs.com/relationshipsummary**, or ask your UBS Financial Advisor for a copy.